

Inventor(s): Terry C. Tomt et al.
Serial No. 10/762,073
Filed : January 20, 2004
For : TEST FOR SOL-GEL ON ALUMINUM RIVETS



COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

27431

(Insert Customer Number)

Sir:

Transmitted herewith is an amendment in the above-identified patent application.

- ☒ **Supplemental Information Disclosure Statement (PTO/SB/08 or PTO-1449)**
☒ 4 prior art reference(s) of non US patents, patent applications and/or non patent literature
☒ Return Receipt Postcard
☒ No additional claim fee is required.

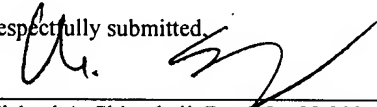
☐ Please charge my Deposit Account No. 50-0851 the amount of \$____. A copy of this transmittal letter is enclosed.

☐ A check in the amount of \$____ to cover the extension fee is enclosed.

☐ A check in the amount of \$____ to cover the additional claims is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees with this communication or credit any overpayment to Deposit Account No. 50-0851. A duplicate copy of this transmittal letter is enclosed.
☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,


Michael A. Shimokaji, Reg. No. 32,303

SHIMOKAJI & ASSOCIATES, P.C.
8911 Research Drive
Irvine, CA 92618
(949) 788-9961

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on 9/20/05
Michael A. Shimokaji, Reg. No. 32,303



PATENT
091-0207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Terry C. Tomt et al. Serial No.: 10/762,073 Filing Date: January 20, 2004 For: TEST FOR SOL-GEL ON ALUMINUM RIVETS	
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In order to comply with 37 CFR 1.97 and 1.98, a copy of Form PT-O 1449 is attached.

In accordance with MPEP 609 and 707.05(b), Applicants respectfully request that each document listed be given thorough consideration and that the Examiner cite each document of record in the prosecution history of the present application by initialing form PTO-1449 next to each document. Applicants request such initialing even if the Examiner does not consider: (1) a listed document to be sufficiently pertinent to use in a rejection; (2) a document to be prior art for any reason; or (3) that the guidelines for citation have been fully complied with respecting a particular document. Applicants make this request so that each document becomes cited on the face of a patent issuing on the present application.

Applicants submit the present Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR 1.56, but by listing such documents Applicants are not admitting that such documents are necessarily relevant or prior art. Applicants intend no representation that the listed documents represent the results of a complete search. Applicants anticipate that the Examiner, in the normal course of examination, will make an independent search to

determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of such search, will review for relevance every document listed on the attached Form even if not initialed.

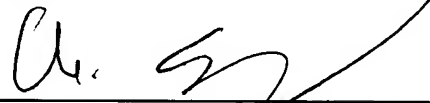
It is believed that this IDS is being filed before the mailing of a first Office Action on the merits (37 CFR § 1.97(b)(3)). Accordingly, Applicant does not believe any fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 CFR § 1.97(c) and charge our Deposit Account No. 05-0851 the fee in the amount of \$180.00 as required by 37 CFR §1.17(p).

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-0851. Please charge any additional fees or credit any overpayment pursuant to 37 CFR §1.16 or §1.17 to Deposit Account No. 50-0851.

Applicants earnestly solicit early and favorable consideration. If the Examiner should have any questions with regard to this filing, he or she should contact the undersigned attorney at (949) 788-9961.

Dated: September 20, 2005

Respectfully submitted,



Michael A. Shimokaji, Reg. No. 32,303
Attorney for Applicant

Shimokaji & Associates, P.C.
8911 Research Drive
Irvine, CA 92618
(949) 788-9961

Approved for use through 10/31/2002, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

(use as many sheet as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/762,073
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Filing Date	1/20/2004
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First Named Inventor	Terry C. Tomt
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Group Art Unit

Examiner Name

Attorney Docket Number	091-0207
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[illegible][illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:**
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheet as necessary)</i>			<i>Complete if Known</i>		
			Application Number	10/762,073	
			Filing Date	1/20/2004	
			First Named Inventor	Terry C. Tomt	
			Group Art Unit		
			Examiner Name		
Sheet	2	of	2	Attorney Docket Number	091-0207

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when Appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HAIXING Z ET AL.: "An Alternative to Anodization: Sol-Gel Solutions for Metal Finishing", Metal Finishing, Metals and Plastics Publications, Hackensack, NJ, vol. 96, no. 12, December 1998, pages 35-36, 38	
		European Search Report dated 08-08-2005, Appl. No. 04078401.9-2204	

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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